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AMENDMENT UNDER 37 C.F.R. §1.114(c)
U.S. Application No.: 10/656,124

Attorney Docket Q76661

REMARKS

In the present Amendment, the specification has been amended for clarity.

Claim 1 has been amended to incorporate substantially the subject matter of claim 7.

Claim 1 has also been amended to explicitly recite “exposure of the layer to UV-radiation to cause polymerization of the photopolymeirzable mixture.” In the present invention, polymerization is inherently initiated upon exposure because the claim recites “control of state of polymerization,” immediately following “exposure.”

Claim 1 has further been amended to improve its form and/or for clarity. These amendments are not to be deemed to narrow the scope of the claim.

Claim 7 has been canceled.

Claims 22 and 23 have been added. Claim 22 is supported by the specification, for example, original claims 1, 6 and 7. Claim 23 is supported by the specification, for example, original claims 1, 8, 9 and 13, page 8, line 24 - page 9, line 1 and page 9, line 17 - page 10, line 9.

No new matter has been added and entry of the Amendment is respectfully requested.

Upon entry of the Amendment, claims 1-6 and 8-23 will be all the claims pending in the application.

Applicants note with appreciation that all of the previous prior art rejections have been withdrawn. See Paragraph No. 5 of the Office Action.

I. Response to Claim Objection

Claims 1-15 are objected to for informalities.

Applicants respectfully submit that the present claims do not have informalities.

Applicants have in the Amendment, amended claim 1 to add “the” before “surface projections” in step (d). Accordingly, the Examiner is respectfully requested to reconsider and withdraw the objection.

The Advisory Action indicates that Applicants’ amendments overcome this objection.

II. Response to Rejection Under 35 U.S.C. § 112, First Paragraph

Claims 1-15 are rejected under 35 U.S.C. § 112, first paragraph, as allegedly failing to comply with the written description requirement.

Applicants respectfully traverse the rejection. Step (c) recited in present claim 1 is substantially the same as that in original claim 1. The amendments to claims 1 (in particular, step (c)) are merely for formal matters, i.e., to improve their form and/or for clarity. According to MPEP 608.01(l), original claims are part of the original disclosure of an application. Accordingly, the subject matter of step (c) of present claim 1 is not new matter.

In addition, Applicants have in the Amendment, amended claim 1, step (c), to recite control of state of polymerization based on variation of the index of refraction of the layer.

Further, the concept underlying step (c) of present claim 1 is that the state of polymerization of the layer is controlled (i.e., monitored) by detecting variations in the index of refraction thereof. The present specification describes at page 2, lines 18-19, that the layer is

exposed to UV radiation and the state of polymerization thereof is controlled. The same concept is repeated at page 5, lines 17-18 of the present specification. Further, at page 6, line 11 of the present specification, a description is provided for a system used for monitoring the state of polymerization, which includes laser 19 and camera 20.

The state of polymerization is monitored by detecting the index of refraction of the layer, and consequently changing the intensity of the applied magnetic or electrical field (see also original claim 7). The above detection is performed in an indirect manner, i.e., by detecting the distribution of the intensities of the orders of diffraction in areas of the layer having different degrees of cross-linking, which distribution indeed results in different indexes of refraction, as noted by the Examiner.

In view of the foregoing, Applicants respectfully submit that the subject matter contained in step (c) of present claim 1 is sufficiently described in the specification as originally filed, and thus the rejection should be withdrawn.

In the Advisory Action, the Examiner asserts that the scope of claim 1, in particular, “control of state of polymerization based on variation of the index of refraction of the layer” recited in step (c) is ambiguous.

Applicants disagree for the reasons set forth above. Nonetheless, to facilitate prosecution, Applicants have in the Amendment, amended claim 1 to incorporate the language used in claim 7, as suggested by the Examiner.

Further, the Examiner asserts that the exposure step recited in present claim 1 does not necessarily cause polymerization.

Applicants respectfully disagree. In the present invention, polymerization is inherently initiated upon exposure. Specifically, claim 1 recites “control of state of polymerization,” immediately following “exposure,” which clearly indicates that polymerization of the photopolymeirzable mixture is initiated upon exposure. Nonetheless, to facilitate prosecution, Applicants have in the Amendment, amended claim 1 to explicitly recite “exposure of the layer to UV-radiation to cause polymerization of the photopolymeirzable mixture.”

III. Response to Rejection Under 35 U.S.C. § 112, Second Paragraph

Claims 1-15 are rejected under 35 U.S.C. § 112, second paragraph, as allegedly being indefinite.

In the Amendment filed November 28, 2005, Applicants explained that the terms “binary mask,” “half-tone mask,” “nanoparticle” and “ferrofluids” contained in the present claims are well-known in the art and the meanings thereof are understood.

For the Examiner’s consideration, Applicants submit herewith a copy of the following mentioned documents, except for the U.S. patents, and the following additional explanation.

Nanoparticles: A “nanoparticle” is a microscopic particle whose size is measured in nanometers, wherein the nanometer scale generally means a diameter up to 100 nm. See “*Handbook of Nanostructured Materials and Nanotechnology*”, published in 2000 (see copyright clause at page 2). The “Foreword” of the book identifies what is generally meant by nanometric scale (i.e., 1-100 nanometers).

Ferrofluids: “Ferrofluids” are mentioned in, for example, USP 6,180,226 to McArdle et al cited by the Examiner in the Office Action mailed July 28, 2005 (see, e.g., “Abstract” and “Description of Related Technology” thereof). In addition, “*Magnetoviscous Effects in Ferrofluids*”, published in 2002, describes that ferrofluids have been known since the beginning of 1960s (see Preface, Sections 1.1 and 1.3).

Halftone mask: The term “halftone mask” is mentioned, for example, in USP 5,744,381 and USP 5,723,236; “*Multilevel Diffractive Optical Element Manufacture by Excimer Laser Ablation and Halftone Masks*” by Holmes et al, Proc. Of LASE 2001, San Jose, CA, January 19-26, 2001; and “*Microstructuring by Excimer Laser*” by Harvey, SPIE, vol. 2639, October 23, 1995.

Binary mask: The term “binary mask” is mentioned, for example, in USP 5,326,659 and USP 5,888,678; “*Multilevel Diffractive Optical Element Manufacture by Excimer Laser Ablation and Halftone Masks*” by Holmes et al, Proc. Of LASE 2001, San Jose, CA, January 19-26, 2001; and “*Microstructuring by Excimer Laser*” by Harvey, SPIE, vol. 2639, October 23, 1995.

In view of the above, the Examiner is respectfully requested to reconsider and withdraw the rejection.

The Advisory Action indicates that Applicants’ arguments together with the submitted documents overcome this rejection.

Further, the Examiner notes that “nanoparticle” as described in “*Handbook of Nanostructured Materials and Nanotechnology*” has a dimensional range of 1-100 nm and not up to 100 nm.

As set forth above, a “nanoparticle” is a microscopic particle whose size is measured in nanometers, wherein the nanometer scale generally means a diameter up to 100 nm (see, e.g., the definition from Wikipedia). The above-mentioned document mentions 1-100 nm, which is clearly consistent with the definition used in the present application. In this regard, entities below 1 nanometers are measured using picometers.

In addition, the Examiner indicates that the highlighted lines in “Magnetoviscous Effects in Ferrofluids” are illegible. Applicants attach herewith a clean copy of this document.

IV. New Claims 22 and 23

In the Advisory Action, regarding claim 23 as filed on June 22, 2006, the Examiner indicates that “the changing of the mixture from a liquid state to a gelatinous state via ‘pre-polymerization’” does not require using UV radiation to cause such a transformation as recited in claim 8.

In response, Applicants have in the Amendment, presented new claim 23 which recites “exposure of the layer to UV-radiation to initially transform the photopolymerizable mixture from a liquid state to a gelatinous state, and control of polymerization state of the layer,” prior to conclusive polymerization.

Additionally, Applicants presented new claim 22, reciting step (c) in accordance with claim 1.

V. **Conclusion**

In view of the above, reconsideration and allowance of this application are now believed to be in order, and such actions are hereby solicited. If any points remain in issue which the Examiner feels may be best resolved through a personal or telephone interview, the Examiner is kindly requested to contact the undersigned at the telephone number listed below.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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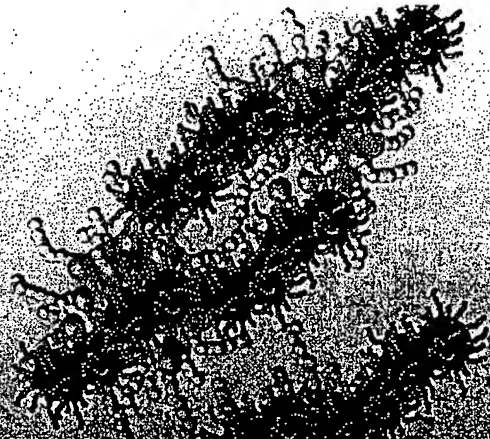
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Odenbach
Magnetoviscous Effects in Ferrofluids

Suspensions of magnetic nanoparticles or ferrofluids can be effectively controlled by magnetic fields, which opens up a fascinating field for basic research into fluid dynamics as well as a host of applications in engineering and medicine. The introductory chapter provides the reader with basic information on the structure, and magnetic and viscous properties of ferrofluids. The bulk of this monograph is based on the author's own research activity and deals with ferrohydrodynamics, especially with the magnetoviscous effects. In particular, the author studies in detail the interparticle interactions so far often neglected but of great importance in concentrated ferrofluids. The basic theory and the most recent experimental findings are presented, making the book interesting reading for physicists or engineers interested in smart materials.

Stefan Odenbach

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Stefan Odenbach

Magnetoviscous Effects in Ferrofluids



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Preface

Within the scope of this work we've investigated the magnetoviscous effects – i.e. the changes of viscous properties due to the action of magnetic fields – in so-called ferrofluids. These fluids, suspensions of magnetic nanoparticles in appropriate carrier liquids, show a pronounced increase of viscosity in the presence of moderate magnetic fields with strengths of the order of several tens of mT. Classically this effect is explained by the hindrance of the free rotation of magnetic particles – with a magnetic moment spatially fixed in the particle – in a shear flow due to magnetic torques trying to align the particles' magnetic moments with the magnetic field direction.

Starting from the classical theory by Mark Shliomis (Shliomis, 1972) we've performed a couple of experiments to validate the predictions of the theory. The use of relatively concentrated commercial magnetic fluids lead to the conclusion that the mentioned theory – developed for highly diluted fluids – is not able to give a quantitative description of the behavior of commercial fluids. The discrepancies have been attributed to the appearance of interparticle interactions between the magnetic particles.

Since the microscopic make-up of commercial ferrofluids is relatively complicated, and in particular parameters like the size distribution of the magnetic particles are not known precisely, a theoretical description of the microscopic reasons for the fluids' macroscopic behavior is impossible without further information. Therefore we've started a series of investigations shedding light on the viscous behavior of magnetic fluids in the presence of magnetic fields, stepwise reducing the number of relevant microscopic parameters to prepare a basis for sufficient modeling of concentrated ferrofluids.

As a first step in this development a specialized rheometer for the investigation of magnetic fluids has been designed. With this rheometer, allowing well-defined application of a magnetic field to a rheometric flow of ferrofluids, we've investigated the shear dependence of the magnetoviscous effect in commercial ferrofluids. These investigations showed that the field-dependent increase of viscosity reduces with increasing shear rate. On the basis of this result we developed a model, assuming that the formation of chains of magnetic particles dominates the magnetoviscous properties of magnetic fluids. The chains themselves represent large magnetic structures which lead to pronounced changes of viscosity if a field is applied. Furthermore, the rupture of the chains in a shear flow and the resulting reduction of the size of the magnetic structures is a starting point for the explanation of the observed shear thinning.

Since chains of magnetic particles can only be formed by particles exhibiting a sufficient interparticle interaction, and since this interaction depends furthermore

on the size of the particles, the next step had to be a clarification, whether the relatively small fraction of large particles in the suspension used is of major importance for magnetoviscosity in ferrofluids. These large particles exhibit – in contrast to the majority of particles with diameters of about 10 nm – sufficiently strong interaction to explain at least the appearance of chain formation.

To get an insight into these questions, we've performed experiments using ferrofluids with variable contents of large particles. In these experiments it was clearly shown that the magnetoviscous effect rises with an increasing amount of large particles. This leads to further input for the theoretical modeling. In an extended approach the ferrofluid is assumed to be a bidisperse system containing a large fraction of small particles, which do not directly contribute to magnetoviscosity, and a small fraction of large particles which form chains determining the field-dependent changes of viscosity. On the basis of these assumptions the magnetoviscous properties could be fitted quantitatively to the experimental data using methods of statistical physics. Thus, a first quantitative description of the microscopic reasons for the rheological behavior of ferrofluids was found, taking into account the effects to the formation of magnetic particle chains. The conclusion that chains exist in the fluids gives rise to the assumption that these fluids should exhibit viscoelastic effects too. To prove this, we finally carried out experiments on the Weissenberg effect, i.e. the climb of a free surface of magnetic fluids at a rotating axis, showing the field-dependent existence of normal stress differences in ferrofluids. Again, the experimentally found behavior could be explained by the formation and rupture of chains of magnetic particles in the fluid.

Thus – within the scope of this work – we've been able to develop a microscopic model of ferrofluids allowing a quantitative description of their rheological behavior, and to prove this model with numerous experimental results on field-dependent effects in ferrofluids rheology. On the basis of these results, information for the optimization of ferrofluids with respect to their magnetoviscous behavior can be obtained, leading to the synthesis of new ferrofluids. Such fluids with enhanced magnetoviscous properties may be used in the future development of devices using the magnetically induced control of viscous properties as an active part in technical applications like dampers or clutches.

Investigations like those described in this work require not only a certain time span to be performed but also the help and cooperation of numerous colleagues and the financial support enabling the research activities.

Thus I'd like to take the opportunity to express my gratitude to those helping me to do this research during recent years.

First of all I've to thank Prof. Dr.-Ing. H. J. Rath and Prof. Dr. K. Stierstadt for providing me with a working environment in Bremen as well as in former times in Munich that gave me the possibility of developing ideas and building up a research team able to explore this new and interesting field. Without these boundary conditions this wouldn't have been possible.

Furthermore my gratitude goes to my co-workers who were prepared to work even in difficult ways towards new scientific and technical goals: Dipl. Phys. H. Gilly for lively discussions during the time in Munich, Dipl. Phys. H. Störk who

built the first version of the ferrofluid rheometer in Wuppertal, and last but not least the members of the ZARM-ferrofluid team who participated in various experiments which led to the results presented, Dipl.-Ing. J. Fleischer, Dipl.-Ing. M. Heyen, Dipl.-Ing. K. Melzner, Dipl.-Ing. T. Rylewicz, Dipl.-Ing. S. Thurm and Dipl.-Ing. T. Völker.

Besides this I'm grateful to numerous colleagues and friends for fruitful and enlightening discussions. In this case it's nearly impossible to name all those who have been with me during the years, but I'd like to mention particularly: Prof. E. Blums, Prof. A. Zubarev and Prof. L. Vekas who were our guests in Bremen numerous times in the course of fruitful cooperations; Dr. K. Raj who provided us with the fluid series for the experiments concerning the influence of large particles; Prof. K. Stierstadt, Dr. H. W. Müller and Dipl.-Ing. Ch. Eigenbrod who helped me with deep and inspiring discussions; and numerous members of the German ferrofluid community who are helping to form a powerful research community on magnetic fluids.

As mentioned, financial support is also essential for the performance of research in general. In this respect I'd like to mention particularly the Deutsche Forschungsgemeinschaft (DFG) for granting most of the experimental work performed during the years in Bremen. In this context I'd like to express my gratitude to Dr. W. Lachenmeier from DFG for the excellent cooperation during the establishment of the DFG priority program on magnetic fluids focusing partly on the topics discussed here. Furthermore I've to thank the Deutsches Zentrum für Luft- und Raumfahrt (DLR), in particular Dr. H. Binnenbruck, for financial support over many years. In addition, the flight opportunities provided by DLR and ESA were of essential importance for the Weissenberg-effect experiments.

Since most of the work presented has an experimental character, the technical support provided by the workshop at ZARM and the Fallturm Betriebsgesellschaft was often of great importance to the success of our research. I'm especially grateful for this, since we often had to set extremely tight deadlines which were always observed.

Besides all the research work, these pages had finally to be written, and in this context I'd like to express my thanks to E. Renschen and C. Wiese for a lot of typing.

In general, the development of scientific activities is a part of life that can not be successful if it is not supported by an appropriate private environment. Many of the colleagues mentioned above have become real friends during the years, supporting me even in difficult times.

But particular gratitude in this respect goes to my parents and my wife Marlene, supporting me over all the years and understanding the difficulties and setbacks of this kind of life.

Bremen, 2001

Stefan Odenbach

Contents

1. Introduction	1
1.1 Magnetic fluids	1
1.2 Magnetoviscous effects	2
1.3 Publications on ferrofluids	3
1.4 The scope of this work	4
2. Magnetic fluids	7
2.1 Basic structure and stability	8
2.2 Magnetic properties of ferrofluids	14
2.2.1 Equilibrium magnetization	14
2.2.2 Relaxation of magnetization	20
2.3 Viscous properties in the absence of magnetic fields	22
2.4 Applications of magnetic fluids	26
2.4.1 Mechanical applications	26
2.4.2 Thermal applications	27
2.4.3 Medical applications	28
2.4.4 Aspects for the design of future applications	29
2.4.5 Applications and the magnetoviscous effect	31
3. The magnetoviscous effect in highly diluted ferrofluids	33
3.1 Rotational viscosity	35
3.2 "Negative" viscosity	52
4. Magnetoviscosity in concentrated ferrofluids	59
4.1 Magnetoviscous effects in commercial fluids at high shear rate	59
4.2 Experimental techniques for the investigation of magnetoviscous properties in ferrofluids	62
4.2.1 Capillary viscometers	62
4.2.2 Rheometers	64
4.2.3 A specialized rheometer for the study of magnetoviscous effects in ferrofluids	68
4.3 Shear dependence of the magnetoviscous effect	78
4.3.1 Results for a commercial ferrofluid and a first approach to a microscopic explanation	78
4.3.2 Experimental results for fluids with different microscopic make-up	85

4.3.3 Controlled change of the microscopic make-up of commercial ferrofluids.....	93
4.3.4 Microscopic explanation of magnetoviscosity in fluids with interparticle interaction	96
4.3.5 Rheological description of magnetoviscosity	102
4.4 Viscoelastic effects in ferrofluids	107
4.4.1 Normal stress differences in magnetic fluids	108
5. Magnetorheological Fluids	123
5.1 Definition and basic properties of magnetorheological fluids	123
5.2 Viscous properties of magnetorheological fluids	125
5.3 Future development in magnetorheology	127
6. Conclusion and outlook	131
Appendix A.....	135
List of symbols.....	137
References.....	143

1. Introduction

1.1 Magnetic fluids

Fluids which can be effectively controlled by magnetic fields of moderate strength are a challenging subject for scientists interested in the basics of fluid mechanics as well as for application engineers. For the basic research the introduction of a controllable force into the fundamental hydrodynamic equations opens a fascinating field of new phenomena.

Forces which can be varied over wide ranges in strength and direction relative to a flow are usually only applicable in theoretical treatments. For forces exhibited by magnetic field gradients the situation changes since magnetic fields can be varied quite well in strength and direction using different types of coils, pole shoes and permanent magnets. If the magnetic influence exerted by a magnetic field becomes strong enough to compete with gravitational forces, a new class of hydrodynamic phenomena becomes experimentally accessible.

Also the design of applications using fluids as relevant active or passive components gains new possibilities if the fluids can be positioned or moved by a force which can be produced by an electric current through a coil being controlled and switched electronically. Again – if the necessary forces can be produced by moderate fields which are generated with a relatively small technical effort – new design ideas using an additional control parameter can be realized.

Due to the fact that no natural liquids offer these features, the starting point of the field of magnetic fluid research can be found in theoretical treatments of magnetically controlled heat transfer machines (Resler and Rosensweig, 1964). Since these early ideas already showed that a liquid material with controllable magnetic properties would provide numerous development possibilities, strong efforts have been undertaken to synthesize a system enabling the mentioned magnetic control. As will be shown later on, suspensions of magnetic nanoparticles in appropriate carrier liquids are a sufficient realization of such a new class of smart materials. After their first stable synthesis in the early 1960s the development of these suspensions – called ferrofluids – proved the high potential of the new research field. Several hundred scientific publications per year and thousands of approved patents document the vitality of ferrofluid research as well as the close connection to applied engineering.

But not only engineers, experimental and theoretical physicists contribute to the development of the field called ferrohydrodynamics (Neuringer and Rosen-

2 1. Introduction

swieg, 1964). The complexity of the system and its difficult chemical make-up require distinct knowledge in chemistry and colloidal physics to synthesize new and improved liquids and to modify the basic properties of the suspensions. Moreover the utilization of the system is not only restricted to technical applications – a use is also possible for various medical treatment purposes. Thus, the overall field of ferrofluid research has a highly interdisciplinary character, bringing chemists, experimental physicists, engineers, theoretical physicists, applied mathematicians and physicians together.

The interdisciplinary nature of the field leads to the necessity for strong cooperation between scientists from different research directions. In principle, basic research has to provide information about the relation between the microstructural make-up and the macroscopic field-dependent properties of the liquids. This knowledge has to be used to tailor special suspensions for new application ideas defining certain requests concerning the fluids behavior in the presence of magnetic fields. Obviously such an interconnected research forces a mutual fertilization of the involved research areas, making the whole field highly challenging from a scientific point of view.

The future development perspective and this interdisciplinary aspect has been the driving force in the establishment of various national research programs, e.g. in Japan and France. The most recent of these programs, a DFG priority program started in Germany in 2000, accounts especially for the interdisciplinarity of the field by combining the efforts of chemists and basic researchers with application engineers and scientists from medical research fields.

These programs are actually leading to a new concentration of efforts in the field, where the investigation of magnetoviscous effects is one of the core points of interest.

1.2 Magnetoviscous effects

Shortly after the publication of the first patent on the synthesis of stable suspensions of nanosized magnetic particles intense research efforts were started in the field, leading to the development of a theoretical background – the theory of ferrohydrodynamics based on early papers by M. Shliomis (Zaitsev and Shliomis, 1969; Shliomis, 1972) – as well as to patents for numerous applications which partly gained commercial importance forcing further development of the whole research area. While basic research covered nearly all areas of flow control and property changes in the fluids induced by the action of magnetic fields, commercially successful applications just used the possibility of the magnetic positioning of the liquids.

The principally predicted employment of the magnetic control of flow in the fluid, or the change of its properties under the influence of a field did not reach the stage of experimental realization since they require relatively high concentration of the suspended magnetic material to achieve a reasonable strength of the effects. The high concentration leads to an interaction of particles, which can not be neglected. The need to account for the interparticle interaction increases the com-

plexity of the system essentially. Thus a well-founded understanding of phenomena observed in such suspensions is relatively hard to obtain. Nonetheless the knowledge about the microstructural properties and their importance for the fluids' macroscopic behavior is the background needed to synthesize application tailored suspensions and to design new devices based on magnetic liquids. Furthermore the influence of magnetic fields on changes in the microstructure of fluids of different make-up has to be taken into account in the prediction of their macroscopic properties.

These problems are of principal importance for the magnetically induced changes in the viscosity of magnetic fluids. The basic theories – formulated nearly three decades ago – model the microstructural make-up of the suspensions in an idealized way, neglecting any kind of interparticle interaction. Therefore these theories can only be used for quantitative predictions of the behavior of highly diluted fluids. In contrast "the promise of controllable fluids", as J.D. Carlson (Carlson, 1994) named the development of new applications of magnetorheological fluids, always requires highly interacting systems to obtain an order of magnitude of the relevant effects – e.g. the magnetoviscous effect – required for commercial needs.

Experimentally it has been found that relatively strong field influence on viscosity can be induced not only in magnetorheological fluids, but also in ferrofluids with sufficient particle-particle interaction. But only recently a deeper understanding of these interactions led to microscopic models quantitatively explaining the experimentally found phenomena. This knowledge is actually used to find ways to optimize the magnetorheological effects in long-term sedimentation stable ferrofluids.

In this context new research concepts have been set up to accelerate the development process. Synthesis of the fluids, basic understanding of their properties, and the development of applications using magnetoviscous properties of the fluids are no longer addressed as isolated research fields. Moreover, programs have been established combining the expertise of the different fields of knowledge in ferrofluid research. The mentioned priority program of DFG is an example of such an integrated research activity. Fluids produced by several synthesizing groups are characterized and rheologically tested and from the understanding of the fluids' behavior steps towards optimization are undertaken. Parallel to this development new applications are designed, using in the beginning existing magnetorheological fluids to define the necessary properties of the fluids to be developed, and thus provide a guideline for the further synthesis steps.

1.3 Publications on ferrofluids

As already mentioned, the field of ferrofluid research is actually more than 30 years old. Thus it is clear that not only original publications in journals or conferences have been released, but also textbooks have been published giving overviews on certain areas of the investigation of fluids containing magnetic nanoparticles. In 1985 the famous book "Ferrohydrodynamics" by Ronald Rosensweig

(Rosenzweig, 1985) was issued, and it is still the standard textbook for people entering the field of magnetic fluid research. Rosenzweig's book leads the reader through all areas of the research field – from the synthesis and properties of magnetic fluids and the foundation of the theory of ferrohydrodynamics towards problems of experimental hydrodynamics in ferrofluids as well as the description of various applications. It features examples for flow control and magnetically driven surface and transport instabilities as well as some remarks concerning field-induced changes of the properties of the fluids.

Looking to magnetoviscous effects only the first results of McTague (McTague, 1969) and Rosenzweig (Rosenzweig et al., 1969) are briefly mentioned, and a glance at the related theory by Shliomis (Shliomis, 1972) is given.

A slightly more detailed treatment of the rheology of ferrofluids in a magnetic field was given in the second general textbook on "Magnetic Fluids" by Blums, Cebers and Maiorov (Blums et al., 1997). They include an extended theoretical discussion of rotational viscosity and deal also with questions like the dependence of the magnetoviscous effects on particle shape and the effect of variation of shear rate for weak shear. In addition this book also gives a good overview on ferrofluid research enlightening the related question from a more theoretical point of view.

Besides these two books no general treatment of the whole area of ferrofluid research is currently available. All other books have been published with a focus on certain sub-areas and refer to Rosenzweig and Blums for the general questions. The field of heat and mass transfer was well treated by Blums, Mikhailov and Ozols in "Heat and Mass Transfer in MHD Flows" (Blums et al., 1986) which contains a special section on heat and mass transfer effects in ferrofluids – while the main part of the book is devoted to conducting fluids and thus to the action of Lorentz forces rather than of magnetic body forces.

Furthermore two books on applications of magnetic fluids are available. "Magnetic Fluids and Applications Handbook" by Berkovsky and Bashtovoy (Berkovsky and Bashtovoy, 1996) and "Engineering Applications of Magnetic Fluids" (Berkovsky et al., 1993) give an overview on numerous kinds of usage of ferrofluids in different fields, for example mechanical positioning, separation or even medicine. Besides the mentioned books, further monographs are available in Russian, Berkovsky and Polevikov's work on "Numerical Experiments in Ferrofluids" (Berkovsky and Polevikov, 1988). But since these have not been translated into English, the availability of the information contained is unavailable for an English-speaking reader, reducing their importance and rating.

1.4 The scope of this work

With the present work the field of magnetoviscous properties of ferrofluids will be addressed. As mentioned above, the standard textbooks give only a short treatment of the early findings concerning field effects on the rheological behavior of ferrofluids. Moreover no special treatment of this subject has existed till now. On the other hand the investigation of field-induced changes of the viscosity of suspensions of magnetic nanoparticles is one of the most vital areas in magnetic fluid

research nowadays. The current research questions, focusing on the tailored design of fluids for new applications using the magnetoviscous effects, require a detailed understanding of the effect itself as well as of the influence of the microscopic make-up of the fluid on its macroscopic behavior. Since especially the latter mentioned question of the dependence of macroscopic effects on microscopic properties is based on experimental and theoretical results we obtained recently, no comprehensive description of the field exists yet.

So the idea of this work is to combine a description of the basics of magnetoviscous effects with a compilation of the most recent findings on the influence of structure formation on the viscosity of ferrofluids. To achieve this goal, the present work is organized in the following way.

Chapter 2 will introduce the material which is the focus of the discussion. Ferrofluids and their basic properties will be discussed to an extent that allows us to read the upcoming treatment of magnetoviscosity without further basic knowledge on suspensions of magnetic nanoparticles. Besides the discussion of basic properties, Chap. 2 will also contain a short glance on applications of ferrofluids. This part is thought to motivate the engineering aspect of the whole research field in general as well as to highlight the investigation of magnetoviscous effects for applications. This section does not claim to replace the standard textbooks mentioned in Sect. 1.3. Its scope is only to introduce those topics needed for the discussion of the main focus of this work. Thus a couple of references to the standard books are given to enable the reader to find more detailed information on topics from the field of ferrofluid research outside the focus of this work.

In Chap. 3 the basic phenomenon of rotational viscosity, i.e. the influence of a magnetic field on the viscosity of a suspension of noninteracting nanoparticles is discussed. Starting from an explanation of the basic physical background of the phenomena of field-induced viscosity changes in ferrofluids, the theoretical approach of Shliomis is reviewed. Particular interest is paid here to all aspects related to experimental proofs of the theory rather than to a deep theoretical discussion of the approach itself. Nonetheless, the derivation of the basic equation for rotational viscosity is briefly compiled to give the reader a general glance at one of the most fundamental theoretical developments of ferrohydrodynamics. Starting from the various theoretical predictions, experimental proofs of the theory are presented, leading to a discussion of the range of validity of the theory and in particular of the problems that appear if concentrated fluids are considered. Finally, for reasons of completeness, the phenomenon of viscosity reduction in alternating magnetic fields is briefly discussed to illustrate the wide range of phenomena based on the interaction of the magnetic field with the magnetic moment of the particles.

The magnetoviscous effects in concentrated suspensions, and thus in systems of interacting particles, are then discussed in Chap. 4. The starting points for this discussion are the discrepancies found in Chap. 3 in the comparison of Shliomis' theory with the experimental results for concentrated suspensions. Again the experimental investigation of magnetoviscous effects is the center of the discussion. The necessary experimental techniques, and the connected experimental problems are described in detail to form the basis for the discussion of the measured phe-

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